



Meeting: Audit Committee

Members: Councillors and Independent Co-opted Members (non-voting) Cliff Lunn (Chairman), Alyson Baker, Philip Broadbank, Mark Crane, George Jabbour (Vice-Chair), Nigel Knapton, Stuart Parsons, Karin Sedgwick, Neil Swannick, Matt Walker, Mr David Marsh and Mr David Portlock

Date: Monday, 26th June, 2023

Time: 1.30 pm

Venue: Brierley Room, County Hall, Northallerton

Members of the public are entitled to attend this meeting as observers for all those items taken in open session. Please contact the Democratic Services Officer whose details are at the foot of the first page of the Agenda if you would like to find out more.

This meeting is being held as an in-person meeting. The meeting is also 'hybrid', which enables people to attend the meeting remotely using MS Teams. Please contact the Democratic Services Officer whose details are at the foot of the first page of the Agenda if you would like to find out more.

Recording is allowed at Council, committee and sub-committee meetings which are open to the public, please give due regard to the Council's protocol on audio/visual recording and photography at public meetings, a copy of which is available to download below. Anyone wishing to record is asked to contact, prior to the start of the meeting, the Democratic Services Officer whose details are at the foot of the first page of the Agenda. We ask that any recording is clearly visible to anyone at the meeting and that it is non-disruptive. <http://democracy.northyorks.gov.uk/>

AGENDA

- 1. Apologies for Absence**
- 2. Minutes of the former NYCC Audit Committee Meeting held on 20 March, 2023** (Pages 5 - 10)
To approve these minutes.
- 3. Declarations of Interest**
- 4. Public Questions or Statements**

Enquiries relating to this agenda please contact Paul Preston Tel: 01723 232310
or e-mail: paul.preston@northyorks.gov.uk
Website: www.northyorks.gov.uk

OFFICIAL

Members of the public may ask questions or make statements at this meeting if they have given notice (including the text of the question/statement) to Paul Preston of Democratic Services (contact details at the foot of page 1) by midday on Wednesday 21st June, 2023. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- At this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes).
- When the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct anyone who may be taking a recording to cease while you speak.

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| 5. | Progress on Issues Raised by the Committee
Joint report of the Corporate Director – Resources and the Assistant Chief Executive (Legal and Democratic Services) | (Pages 11 - 14) |
| 6. | 2023/24 Internal Audit Plan
Report of the Head of Internal Audit. | (Pages 15 - 30) |
| 7. | Annual Report of the Head of Internal Audit
Report of the Head of Internal Audit. | (Pages 31 - 66) |
| 8. | Draft Statement of Accounts 2022-23
Report of the Corporate Director – Resources. | (Pages 67 - 76) |
| 9. | Ryedale District Council 2022-23 Audit Plan
Report of Grant Thornton, UK LLP | (Pages 77 - 98) |
| 10. | Corporate Governance
Report of Corporate Director Resources. | (Pages 99 - 114) |
| 11. | Internal Control Matters for Children and Young People's Services
Report of the Corporate Director, Children and Young People's Services (CYPS) | (Pages 115 - 142) |
| 12. | Information Governance Annual Report
Report of the Corporate Director – Resources. | (Pages 143 - 150) |
| 13. | CIPFA Financial Management Code
Report of Corporate Director - Resources. | (Pages 151 - 166) |
| 14. | Review of Assurance over Value for Money
Report of Corporate Director – Resources | (Pages 167 - 174) |
| 15. | Programme of Work | (Pages 175 - 176) |

16. Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances

Barry Khan
Assistant Chief Executive
(Legal and Democratic Services)

County Hall
Northallerton

Friday, 16 June 2023